






























## UN Global Compact Communication on Progress (UNGC CoP)

This Communication on Progress report covers the period from January 1, 2021 to December 31, 2021.

CEO Statement		Information Links	Page(s)
CEO Statement	Statement by Nasdaq’s chief executive expressing continued support for the Global Compact and renewing Nasdaq’s ongoing commitment to the initiative and its principles.	<a href="#">CEO Statement</a>	
Human Rights Principles		Information Links	Page(s)
1	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights.	 <a href="#">Nasdaq Human Rights Practices Statement</a>	2, 4
2	Principle 2: Businesses should make sure that they are not complicit in human rights abuses.	 <a href="#">Nasdaq Human Rights Practices Statement</a>	2, 4
Labor Principles		Information Links	Page(s)
3	Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	 <a href="#">2021 Supplier Code of Ethics</a>	3
		 <a href="#">2022 Proxy Statement</a>	57
4	Principle 4: Businesses should uphold the elimination of all forms of forced and compulsory labor.	 <a href="#">Nasdaq Human Rights Practices Statement</a>	2, 4
		 <a href="#">2021 Supplier Code of Ethics</a>	5
		 <a href="#">2021 Code of Ethics</a>	34
5	Principle 5: Businesses should uphold the effective abolition of child labor.	 <a href="#">Nasdaq Human Rights Practices Statement</a>	2, 4
		 <a href="#">2021 Supplier Code of Ethics</a>	5
		 <a href="#">2021 Code of Ethics</a>	34
6	Principle 6: Businesses should uphold the elimination of discrimination in respect of employment and occupation.	 <a href="#">Nasdaq Human Rights Practices Statement</a>	3
		 <a href="#">2021 Supplier Code of Ethics</a>	5
		 <a href="#">2021 Code of Ethics</a>	13-14
Environmental Principles		Information Links	Page(s)
7	Principle 7: Businesses should support a precautionary approach to environmental challenges.	 <a href="#">2021 TCFD Report</a>	5
		 <a href="#">2021 Sustainability Report</a>	13-14, 22-27
		 <a href="#">Nasdaq Environmental Practices Statement</a>	2-3
		 <a href="#">CY21 GHG Assurance</a>	

		Statement	
8	Principle 8: Businesses should undertake initiatives to promote greater environmental responsibility.	 <a href="#">2021 TCFD Report</a>	5
		 <a href="#">2021 Sustainability Report</a>	13-14, 22-27
		 <a href="#">Nasdaq Environmental Practices Statement</a>	2-3
		 <a href="#">CY21 GHG Assurance Statement</a>	
9	Principle 9: Businesses should encourage the development and diffusion of environmentally friendly technologies.	 <a href="#">2021 TCFD Report</a>	5, 12-14
		 <a href="#">2021 Sustainability Report</a>	9, 22-27
		 <a href="#">CY21 GHG Assurance Statement</a>	
Anti-Corruption Principles		Information Links	Page(s)
10	Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.	 <a href="#">2021 Supplier Code of Ethics</a>	3
		 <a href="#">2021 Code of Ethics</a>	20-22
		 <a href="#">2021 Sustainability Report</a>	45